

For the month ended

Monthly Return of Equity Issuer on Movements in Securities

(dd/mm/yyyy) :		30/09/2020		
To : Hong Kong Exchange	s and Clea	ring Limited		
Name of Issuer	DTXS Si	ilk Road Investme	ent Holdings	Company Limited
Date Submitted	06/10/20)20		
I. Movements in Authorise	d Share Ca	apital		
1. Ordinary Shares				
(1) Stock code : 620	Descrip	otion:	Ordinary	y Shares
		No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preced	ling month	5,000,000,000	HK\$0.50	HK\$2,500,000,000.00
Increase/(decrease)	-	Nil		Nil
Balance at close of the mo	onth _	5,000,000,000	HK\$0.50	HK\$2,500,000,000.00
(2) Stock code : N/A	Descrip	otion :	N	/A
		No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preced	ling month			
Increase/(decrease)	-			
Balance at close of the mo	onth _			

Stock code :	N/A	Descrip	tion :	N/A	
			No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of p	recedir	ng month_			
Increase/(decrease)		-			
Balance at close of the	ne mon	ith _			
3. Other Classes of S	Shares				
Stock code :	N/A	Descrip	tion :	N/A	
			No. of other classes of shares	Par value (State currency)	capital
Balance at close of p	recedir	ng month_			
Increase/(decrease)		_			
Balance at close of tl	ne mor	ith _			
Total authorised shar currency):	e capit	al at the e	nd of the month		500,000,000.00
II. Movements in Issu	ued Sha	are Capita	I		
	١	No. of ordin	nary shares	No of preference shares	ce No. of other classes of shares

	No. of ordin	nary shares	No of preference	No. of other		
	(1)	(2)	shares	classes of shares		
Balance at close of	007 400 000	N 1/A	N1/A	N1/A		
preceding month	667,483,230	N/A	N/A	N/A		
Increase/ (decrease)						
during the month	42,000	N/A	N/A	N/A		
Balance at close of						
the month	667,525,230	N/A	N/A	N/A		

III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer) Particulars of No. of new shares of No. of new shares of share option issuer issued during issuer which may be scheme the month pursuant issued pursuant thereto as at close of including EGM thereto Movement during the month approval date the month (dd/mm/yyyy) and class of shares Granted Exercised Cancelled issuable Lapsed 1. Share Options Scheme adopted on 06/12/2012 Date of grant: 28/01/2016 Exercise Price of HK\$3.00 Ordinary shares Nil Nil Nil 250.000 Nil 10,250,000 (Note 1) 2. Share Options Scheme adopted on 06/12/2012 Date of grant: 21/12/2016 Exercise Price of HK\$3.71 Ordinary shares Nil 42,000 Nil Nil 42.000 Nil (Note 1) 3. Share Options Scheme adopted on 06/12/2012 Date of grant: 04/09/2017 Exercise Price of HK\$4.814 Nil Nil Ordinary shares Nil Nil 3,000,000 Nil (Note 1) 4. Share Options Scheme adopted on 06/12/2012 Date of grant: 04/01/2019 Exercise Price of HK\$3.902 Ordinary shares Nil Nil Nil Nil Nil 750.000 (Note 1) 5. Share Options Scheme adopted on 06/12/2012 Date of grant: 07/04/2020 Exercise Price of HK\$5.29 Nil Nil Nil Nil Nil 3,750,000 Ordinary shares (Note 1) 6. Share Options Scheme adopted on 06/12/2012 Date of grant: 16/06/2020 Exercise Price of HK\$4.87 Ordinary shares Nil Nil Nil Nil Nil 2,600,000 (Note 1) Total A. (Ordinary shares) 42,000 (Preference shares) N/A (Other class) N/A Total funds raised during the month from exercise of options (State currency) HK\$155,820.00

Conventibles (i.e. Conventible	into Shares of th	e issuei wili	ch are to be cist	au)		
Class and description	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A	<u> </u>					
1. N/A	_ _ _					
Stock code (if listed) Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy))	(/ /)					
2.	,					
<u>z.</u>	<u>—</u>					
	_					
	_					
Stock code (if listed)						
Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date						
(if applicable)						
(dd/mm/yyyy)	(/ /)					
	(, , ,)					
3.	_					
	_					
Stock code (if listed)						
Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date						
(if applicable)						
(dd/mm/yyyy)	(/ /)					
4.						
_ 						
	_					
						-
Stock code (if listed)						
Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date		 _				
(if applicable)						
(dd/mm/yyyy)	(/ /)					
	, /		Total C. (Ordina	ary shares)	N/A	
				ice shares)		_
				ther class)		_
			(0		- 47.	-

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Options (other than under onare option ochemes)		
Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A		
(
shares (Note 1)		
2.		
shares (Note 1)		
3		
shares (Note 1)		
Sitales (Note 1)		·
Total D. (Ordinary shares)	N/A	
(Preference shares)	N/A	
(Other class)	N/A	
(Other class)	13/73	

	Type of Issue						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	1 ypc or 1330c			Class of shares				the month
				issuable (Note 1)				
5.	Scrip dividend	At price :	State currency ———	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)	21/2	. 1/4
							N/A	N/A
				Class of shares repurchased (Note 1)				
6.	Repurchase of shares			Cancellation date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
							N/A	N/A
				Class of shares redeemed (Note 1)				
7.	Redemption of shares			Redemption date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
							N/A	N/A
				Class of shares issuable (Note 1)				
8.	Consideration issue	At price :	State currency ———	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
							N/A	N/A

	Type of Issue					i	No. of new shares of ssuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	<i>y</i> ₁							
				Class of shares issuable (Note 1)		_		
9.	Capital reorganisation			Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				(**************************************			N/A	N/A
				Class of shares issuable (Note 1)		-		
10.	Other	At price :	State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)	N/A	N/A
				Total	E. (Ordir	nary	·	
				(Prefe	sha erence sha	res) res)	N/A N/A	
					(Other cla	ass)	N/A	
_		. .			(A (E)			
10	otal increase / (de	ecrease) in c	ordinary snares duri	ng the month (i.e. Total	of A to E):	(1)		000
						(2)) <u>N</u>	/A
	,	, ,		luring the month (i.e. Tot		•	N	/A
E		ecrease) in c	otner classes of sha	res during the month (i.e	e. Total of <i>F</i>	of P	N.	/A
(7		ould be the s	same as the relevan	t figures under II above	("Movemei	nts i		

IV. Confirmations

issued publish	by the issuer during the month as set out in Part III which has not been previously disclosed in a return ned under rule 13.25A, it has been duly authorized by the board of directors of the listed issuer and, as applicable:
(Note 2	2)
(i)	all money due to the listed issuer in respect of the issue of securities has been received by it;
(ii)	all pre-conditions for listing imposed by the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited under "Qualifications of listing" have been fulfilled;
(iii)	all (if any) conditions contained in the formal letter granting listing of and permission to deal in the securities have been fulfilled;
(iv)	all the securities of each class are in all respects identical (Note 3);
(v)	all documents required by the Companies (Winding Up and Miscellaneous Provisions) Ordinance to be filed with the Registrar of Companies have been duly filed and that compliance has been made with other legal requirements;
(vi)	all the definitive documents of title have been delivered/are ready to be delivered/are being prepared and will be delivered in accordance with the terms of issue;
(vii)	completion has taken place of the purchase by the issuer of all property shown in the listing document to have been purchased or agreed to be purchased by it and the purchase consideration for all such property has been duly satisfied; and
(viii)	the trust deed/deed poll relating to the debenture, loan stock, notes or bonds has been completed and executed, and particulars thereof, if so required by law, have been filed with the Registrar of Companies.
Remark	ks (if any):
Submit	ted by: Tang Man Joe
Title:	Company Secretary (Director, Secretary or other duly authorised officer)

Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. Items (i) to (viii) are suggested forms of confirmation which may be amended to meet individual cases. Where the issuer has already made the relevant confirmations in a return published under rule 13.25A in relation to the securities issued, no further confirmation is required to be made in this return.
- 3. "Identical" means in this context:
 - the securities are of the same nominal value with the same amount called up or paid up;
 - they are entitled to dividend/interest at the same rate and for the same period, so that at the next ensuing distribution, the dividend/interest payable per unit will amount to exactly the same sum (gross and net); and
 - they carry the same rights as to unrestricted transfer, attendance and voting at meetings and rank pari passu in all other respects.
- 4. If there is insufficient space, please append the prescribed continuation sheet.