# **HKEX** 香港交易所

### Monthly Return of Equity Issuer on Movements in Securities

# For the month ended (dd/mm/yyyy) :

30/09/2016

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer	Guangdong Join-Share Financing Guarantee Investment Co., Ltd. (the õ <b>Company</b> ö)
Date Submitted	6 October 2016

I. Movements in Authorised Share Capital

### 1. Ordinary Shares

(1) Stock code : <u>N/A</u> Descrip	otion :	Domestic	Shares
	No. of ordinary shares	Par value <i>(RMB)</i>	Authorised share capital <i>(RMB)</i>
Balance at close of preceding month	773,333,333	1.00	773,333,333
Increase/(decrease)	Nil		Nil
Balance at close of the month	773,333,333	1.00	773,333,333
(2) Stock code : <u>1543</u> Descrip	otion :	H Sha	ires
(2) Stock code : <u>1543</u> Descrip	otion : No. of ordinary shares	H Sha Par value <i>(RMB)</i>	Authorised share capital (RMB)
(2) Stock code : <u>1543</u> Descrip Balance at close of preceding month	No. of ordinary shares	Par value	Authorised share capital
	No. of ordinary shares	Par value <i>(RMB)</i>	Authorised share capital <i>(RMB)</i>

#### 2. Preference Shares

Stock code :	N/A	_Descript	ion :		
			No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close	of precedin	g month			
Increase/(decrea	ase)	_			
Balance at close	of the mont	h			

## 3. Other Classes of Shares

Stock code :	N/A	_Descrip	tion :		
			No. of other classes of shares	Par value (State currency)	Authorised share capital <i>(State currency)</i>
Balance at clos	e of precedir	ig month			
Increase/(decre	ase)	-		-	
Balance at clos	e of the mon	th _			

Total authorised share capital at the end of the month (RMB):

1,066,666,667

#### II. Movements in Issued Share Capital

	No. of ordin (1) Domestic Shares	(2) H Shares	No of preference shares	No. of other classes of shares
Balance at close of preceding month	773,333,333	293,333,334	N/A	N/A
Increase/ (decrease) during the month	Nil	Nil	N/A	N/A
Balance at close of the month	773,333,333	293,333,334	N/A	N/A

III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Particulars of share option scheme including EGM approval date		Movement durir	ng the month			No. of new shares of issuer which may be issued pursuant thereto as at close of the month
(dd/mm/yyyy) and class of shares issuable	Granted	Exercised	Cancelled	Lapsed		
1. N/A	Oranico	Exclosed	Ganochea	Lapsed		
( / / ) shares (Note 1)						
<u>2. N/A</u>						
( / / ) shares (Note 1)						
<u>3. N/A</u>						
( / / ) shares (Note 1)						
, ,		Tota	IA. (Ordina		N/A	
			(Preference		N/A	
				her class)	N/A	
Total funds raised of options (State cu		nth from exerci	se 	N/A		

Warrants to Issue Shares of the	e Issuer which	are to b	e Listed				
Description of warrants (Date of expiry - dd/mm/yyyy) 1. N/A	Currency of nominal value	Nomina at clo prece mo	se of eding	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
<u>1. N/A</u>							
( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date							
(if applicable) (dd/mm/yyyy)	(	)					
2. N/A	( / /	)	<u> </u>				
( / / ) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date							
(if applicable)							
(dd/mm/yyyy)	( / /	)					
<u>3. N/A</u>							
( / / )							
Stock code (if listed) Class of shares							
issuable (Note 1)							
Subscription price							
EGM approval date (if applicable)							
(dd/mm/yyyy)	( / /	)	<u> </u>				
<u>4. N/A</u>							
( / / )							<u> </u>
Stock code (if listed) Class of shares							
issuable (Note 1)							
Subscription price							
EGM approval date (if applicable)							
(dd/mm/yyyy)	( / /	)					
			Total B.	(Ording	ary shares)	N/A	
			IUIAI D.		ce shares)	N/A N/A	-
					ther class)	N/A	-

Convertibles (i.e. Convertible	e into Shares	of th	e Issuer whic	h are to be Liste	ed)		
Class and description          1. N/A	Currency amoun outstandi	t	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
Stock code (if listed) Class of shares							
issuable (Note 1)							
Subscription price							
EGM approval date							
(if applicable)		``					
(dd/mm/yyyy)	( / /	)					
<u>2. N/A</u>							
Stock code (if listed)							
Class of shares							
issuable (Note 1)							
Subscription price EGM approval date							
(if applicable)							
(dd/mm/yyyy)	( / /	)					
<u>3. N/A</u>							
Stock code (if listed)							
Class of shares							
issuable (Note 1)							
Subscription price							
EGM approval date							
(if applicable) (dd/mm/yyyy)	( / /	)					
4. N/A		/					
Stock code (if listed)							
Class of shares issuable (Note 1)							
Subscription price							
EGM approval date							
(if applicable)							
(dd/mm/yyyy)	( / /	)					
			1	Total C. (Ordina		N/A	-
				•	ce shares) ther class)	N/A N/A	-
				U)	uici ciass)	1 <b>1/</b> //	-

	other Agreements or Arrangements to Issue Shares of the Issue ons (other than under Share Option Schemes)	er which are to be Liste	ed, including
Ful	l particulars including EGM approval date (dd/mm/yyyy), pplicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.			
· · ·			
	shares (Note 1)		
	· · · · · · · · · · · · · · · · · · ·		
2.	N/A		
	shares (Note 1)		
3	N/A		
0.			
	( / / )		
	shares (Note 1)		
	Total D. (Ordinary shares)	N/A	
	(Preference shares)	N/A	
	(Other class)	N/A	

Oth	ner Movements	in Issued Sha	are Capital						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
				Class of shares						
1.	Rights issue	At price :	State	issuable (Note 1)	(	/	/	)		
	-	·	currency ———	date : (dd/mm/yyyy) EGM approval date:	(	/	/	)		
				(dd/mm/yyyy)					N/A	N/A
				Class of shares issuable (Note 1)						
2.	Open offer	At price :	State currency	lssue and allotment date : (dd/mm/yyyy)	(	/	/	)		
				EGM approval date: (dd/mm/yyyy)	(	/	/	)		
									<u>N/A</u>	<u>N/A</u>
				Class of shares issuable (Note 1)	_					
3.	Placing	At price :	State currency		(	/	/	)		
				EGM approval date: (dd/mm/yyyy)	(	/	/	)		
									N/A	<u>N/A</u>
				Class of shares issuable (Note 1)						
4.	Bonus issue			Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
				EGM approval date: (dd/mm/yyyy)	(	/	/	)		
									<u>N/A</u>	<u>N/A</u>

5. Scrip dividend At price : State Lissue and allo currency EGM approva (dd/mm/yyyy)	otment ( / / ) n/yyyy) al date: ( / / )
5. Scrip dividend At price : State Issue and allo currency date : (dd/mm	otment ( / / ) n/yyyy) al date: ( / / )
EGM approva	al date: ( / / )
(dd/ffiff/yyyy)	
	<u>N/A</u> N
Class of repurchased (	shares (Note 1)
6. Repurchase of Cancellation of Shares (dd/mm/yyyy)	date : ( / / )
EGM approva (dd/mm/yyyy)	al date: ( / / ) ) N/A N
Class of	
redeemed (No	
7. Redemption of Redemption of (dd/mm/yyyy)	
EGM approva (dd/mm/yyyy)	
Class of issuable (Note	shares e 1)
8. Consideration At price : State Issue and allo issue date : (dd/mm	
EGM approva (dd/mm/yyyy)	al date: ( / / )
	<u>N/A</u> N

	Type of Issue					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
			Class of shares issuable (Note 1)	·			
9.	Capital reorganisation		Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)		
						N/A	<u>N/A</u>
			Class of shares issuable (Note 1)				
10.	Other (Please specify) At price :	State	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
			EGM approval date: (dd/mm/yyyy)	( / /	)	N/A	N/A
			Total (Pref			5) <u>N/A</u> 5) <u>N/A</u>	- - -

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E): (1)	Nil
(2)	Nil
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):	N/A
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):	N/A
(These figures should be the same as the relevant figures under II above ("Movements in Capital").)	Issued Share

Remarks (if any):

As the Company is incorporated in the People's Republic of China, the concept of "authorised share capital" is not applicable. The information contained in section I refers to the "issued share capital" of the Company.

Submitted by: <u>Wong Yat Tung</u>

 Title:
 Joint Company Secretary

 (Director, Secretary or other duly authorised officer)

Notes :

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.